

# Regular components of moduli spaces of stable maps

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## 1 Introduction

The purpose of this note is to prove the existence of ‘nice’ components of the Hilbert scheme of curves  $C \subseteq \mathbb{P}^1 \times \mathbb{P}^r$  of genus  $g \geq 2$  and bidegree  $(k, d)$ . We can also phrase our result using the Kontsevich moduli space of stable maps to  $\mathbb{P}^1 \times \mathbb{P}^r$ . We work over an algebraically closed field of characteristic zero.

For a smooth projective variety  $Y$  and a class  $\beta \in H_2(Y, \mathbb{Z})$ , one considers the moduli stack  $\overline{\mathcal{M}}_g(Y, \beta)$  of stable maps  $f : C \rightarrow Y$ , with  $C$  a reduced connected nodal curve of genus  $g$  and  $f_*([C]) = \beta$  (see [FP] for the construction of these stacks). The open substack  $\mathcal{M}_g(Y, \beta)$  of  $\overline{\mathcal{M}}_g(Y, \beta)$  parametrizes maps from smooth curves to  $Y$ . By  $\overline{M}_g(Y, \beta)$  we denote the coarse moduli space corresponding to the stack  $\overline{\mathcal{M}}_g(Y, \beta)$  and similarly  $\overline{M}_g$  is the moduli space corresponding to the stack  $\overline{\mathcal{M}}_g$  of stable curves of genus  $g$ . We denote by  $\pi : \overline{\mathcal{M}}_g(Y, \beta) \rightarrow \overline{M}_g$  the natural projection. The *expected dimension* of the stack  $\overline{\mathcal{M}}_g(Y, \beta)$  is

$$\chi(g, Y, \beta) = \dim(Y) (1 - g) + 3g - 3 - \beta \cdot K_Y.$$

Since in general the geometry of  $\overline{\mathcal{M}}_g(Y, \beta)$  is quite messy (e.g. existence of many components, some nonreduced and/or not of expected dimension), it is not obvious what the definition of a nice component of  $\overline{\mathcal{M}}_g(Y, \beta)$  should be. Following Sernesi [Se] we introduce the following terminology:

**Definition.** A component  $V$  of  $\overline{\mathcal{M}}_g(Y, \beta)$  is said to be *regular* if it is generically smooth and of dimension  $\chi(g, Y, \beta)$ . We say that  $V$  has the *expected number of moduli* if

$$\dim \pi(V) = \min(3g - 3, \chi(g, Y, \beta) - \dim \text{Aut}(Y)).$$

In this paper we only construct regular components of moduli spaces of stable maps. We study the stacks  $\overline{\mathcal{M}}_g(Y, \beta)$  when  $Y = \mathbb{P}^1 \times \mathbb{P}^r$ ,  $r \geq 3$  and  $\beta = (k, d) \in H_2(\mathbb{P}^1 \times \mathbb{P}^r, \mathbb{Z})$ . We denote by  $\rho(g, r, d) = g - (r + 1)(g - d + r)$  the *Brill-Noether number* governing the existence of  $\mathfrak{g}_d^r$ ’s on curves of genus  $g$ . Our main result is the following:

**Theorem 1** *Let  $g, r, d$  and  $k$  be positive integers with  $r \geq 3$ ,  $\rho(g, r, d) < 0$  and*

$$(2 - \rho(g, r, d))r + 2 \leq k \leq (g + 2)/2.$$

*Then there exists a regular component of the stack of maps  $\mathcal{M}_g(\mathbb{P}^1 \times \mathbb{P}^r, (k, d))$ .*

We introduce the *Brill-Noether locus*  $M_{g,d}^r = \{[C] \in M_g : C \text{ has a } \mathfrak{g}_d^r\}$ , in the case  $\rho(g, r, d) < 0$ . The expected codimension of  $M_{g,d}^r$  inside  $M_g$  is  $-\rho(g, r, d)$ . We view Theorem 1 as a tool in the study of the relative position of the loci  $M_{g,k}^1$  and  $M_{g,d}^r$  when  $r \geq 3$ ,  $\rho(g, 1, k) < 0 \Leftrightarrow k < (g+2)/2$  and  $\rho(g, r, d) < 0$ . The stack  $\mathcal{M}_g(\mathbb{P}^1 \times \mathbb{P}^r, (k, d))$  comes naturally into play when looking at the intersection in  $M_g$  of the loci  $M_{g,k}^1$  and  $M_{g,d}^r$ . In such a setting, if  $V$  is a regular component of  $\mathcal{M}_g(\mathbb{P}^1 \times \mathbb{P}^r, (k, d))$ , then  $M_{g,k}^1$  and  $M_{g,d}^r$  intersect properly along  $\pi(V)$ . It is very plausible that one has a similar statement to Theorem 1 when  $\rho(g, r, d) \geq 0$  and/or  $\rho(g, 1, k) \geq 0$ , but from our perspective that seems of less interest because it would be essentially a statement about linear series on the general curve of genus  $g$  with no implications on the problem of understanding the geography of the Brill-Noether loci inside  $M_g$ .

Regarding the problem of existence of regular components of  $\mathcal{M}_g(Y, \beta)$ , so far the spaces  $\mathcal{M}_g(\mathbb{P}^r, d)$  have received the bulk of attention. When  $r = 1, 2$  the problem boils down to the study of the Hurwitz scheme and of the Severi variety of plane curves which are known to be irreducible and regular. For  $r \geq 3$  we have the following result of Sernesi (cf. [Se, p. 26]):

**Proposition 1.1** *For all  $g, r, d$  such that  $d \geq r+1$  and*

$$-\frac{g}{r} + \frac{r+1}{r} \leq \rho(g, r, d) < 0,$$

*there exists a regular component  $V$  of  $\mathcal{M}_g(\mathbb{P}^r, d)$  which has the expected number of moduli. A general point of  $V$  corresponds to an embedding  $C \hookrightarrow \mathbb{P}^r$  by a complete linear system (i.e.  $h^0(C, \mathcal{O}_C(1)) = r+1$ ), the normal bundle  $N_C$  satisfies  $H^1(C, N_C) = 0$  and the Petri map*

$$\mu_0(C) : H^0(C, \mathcal{O}_C(1)) \otimes H^0(C, K_C(-1)) \rightarrow H^0(C, K_C)$$

*is surjective.*

A. Lopez has obtained significant improvements on the range of  $g, r, d$  such that there exists a regular component of  $\mathcal{M}_g(\mathbb{P}^r, d)$ : if  $h(r) = (4r^3 + 8r^2 - 9r + 3)/(r+3)$ , then for all  $g, r, d$  such that  $-(2 - 6/(r+3))g + h(r) \leq \rho(g, r, d) < 0$  there exists a regular component of  $\mathcal{M}_g(\mathbb{P}^r, d)$  with the expected number of moduli (cf. [Lo]).

When  $Y$  is a smooth surface, methods from [AC] can be employed to show that if  $V$  is a component of  $\mathcal{M}_g(Y, \beta)$  with  $\dim(V) \geq g+1$  and which contains a point  $[f : C \rightarrow Y]$  with  $\deg(f) = 1$  (i.e.  $f$  is generically injective), then  $V$  is regular. Here it is crucial that the normal sheaf  $N_f$  is of rank 1 as then the Clifford Theorem provides an easy criterion for the vanishing of  $H^1(C, N_f)$ , which turns out to be a sufficient criterion for regularity (see Section 2).

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## 2 Deformations of maps and smoothings of space curves

We review some facts about deformations of maps and smoothings of reducible nodal curves in  $\mathbb{P}^r$ . Our references are [Ran] and [Se].

We start by describing the deformation theory of maps between complex algebraic varieties when the source is (possibly) singular and the target is smooth. Let  $f : X \rightarrow Y$  be a morphism between complex projective varieties, with  $Y$  being smooth. We denote by  $\text{Def}(X, f, Y)$  the space of first-order deformations of the map  $f$  when  $X$  and  $Y$  are not considered fixed. The space of first-order deformations of  $X$  (resp.  $Y$ ) is denoted by  $\text{Def}(X)$  (resp.  $\text{Def}(Y)$ ). We have the standard identification  $\text{Def}(X) = \text{Ext}^1(\Omega_X, \mathcal{O}_X)$ . The deformation space  $\text{Def}(X, f, Y)$  fits in the following exact sequence:

$$\text{Hom}_{\mathcal{O}_X}(f^*\Omega_Y, \mathcal{O}_X) \longrightarrow \text{Def}(X, f, Y) \longrightarrow \text{Def}(X) \oplus \text{Def}(Y) \longrightarrow \text{Ext}_f^1(\Omega_Y, \mathcal{O}_X). \quad (1)$$

The second arrow is given by the natural forgetful maps, the space  $\text{Hom}_{\mathcal{O}_X}(f^*\Omega_Y, \mathcal{O}_X) = H^0(X, f^*T_Y)$  parametrizes first-order deformations of  $f : X \rightarrow Y$  when both  $X$  and  $Y$  are fixed, while for  $A, B$ , respectively  $\mathcal{O}_X$  and  $\mathcal{O}_Y$ -modules,  $\text{Ext}_f^i(B, A)$  denotes the derived functor of  $\text{Hom}_f(B, A) = \text{Hom}_{\mathcal{O}_X}(f^*B, A) = \text{Hom}_{\mathcal{O}_Y}(B, f_*A)$ . Under reasonable assumptions (trivially satisfied when  $f$  is a finite map between nodal curves) one has that  $\text{Ext}_f^1(\Omega_Y, \mathcal{O}_X) = \text{Ext}^1(f^*\Omega_Y, \mathcal{O}_X)$ . Using (1) it follows that when  $X$  is smooth and irreducible and  $Y$  is rigid (e.g. a product of projective spaces)  $\text{Def}(X, f, Y) = H^0(X, N_f)$ , where  $N_f = \text{Coker}\{T_X \rightarrow f^*T_Y\}$  is the normal sheaf of the map  $f$ .

For a smooth variety  $Y$ , a class  $\beta \in H_2(Y, \mathbb{Z})$  and a point  $[f : C \rightarrow Y] \in \mathcal{M}_g(Y, \beta)$  we have that  $T_{[f]}(\overline{\mathcal{M}}_g(Y, \beta)) = H^0(C, N_f)$ . If moreover  $\deg(f) = 1$  and  $H^1(C, N_f) = 0$ , then every class in  $H^0(C, N_f)$  is unobstructed,  $f$  is an immersion (cf. [AC, Lemma 1.4]) and  $\overline{\mathcal{M}}_g(Y, \beta)$  is smooth and of the expected dimension at the point  $[f]$ , that is,  $[f]$  belongs to a regular component of  $\overline{\mathcal{M}}_g(Y, \beta)$ .

Let  $C \subseteq \mathbb{P}^r$  be a stable curve of genus  $g$  and degree  $d$ . If  $\mathcal{I}_C$  is the ideal sheaf of  $C$  we denote by  $N_C := \text{Hom}(\mathcal{I}_C/\mathcal{I}_C^2, \mathcal{O}_C)$  the normal sheaf of  $C$  in  $\mathbb{P}^r$ . Assume that  $H^1(C, N_C) = 0$  and that  $h^0(C, \mathcal{O}_C(1)) = r + 1$ , that is,  $C$  is embedded by a complete linear system. The differential of the map  $\pi : \overline{\mathcal{M}}_g(\mathbb{P}^r, d) \rightarrow \overline{\mathcal{M}}_g$  at the point  $[C \hookrightarrow \mathbb{P}^r]$  is given by the natural map  $H^0(C, N_C) \rightarrow \text{Ext}^1(\Omega_C, \mathcal{O}_C)$ . If  $\omega_C$  denotes the dualizing sheaf of  $C$ , then  $\text{rk}(d\pi)_{[C \hookrightarrow \mathbb{P}^r]} = 3g - 3 - \dim \text{Ker}\mu_0(C)$ , where

$$\mu_0(C) : H^0(C, \mathcal{O}_C(1)) \otimes H^0(C, \omega_C(-1)) \rightarrow H^0(C, \omega_C)$$

is the Petri map. In particular  $(d\pi)_{[C \hookrightarrow \mathbb{P}^r]}$  has rank  $3g - 3 + \rho(g, r, d)$  if and only if  $\mu_0(C)$  is surjective.

In the same setting, via the standard identification  $T_{[C]}(\overline{\mathcal{M}}_g)^\vee = H^0(C, \omega_C \otimes \Omega_C)$ , the annihilator  $(\text{Im}(d\pi)_{[C \hookrightarrow \mathbb{P}^r]})^\perp \subseteq H^0(C, \omega_C \otimes \Omega_C)$  can be naturally identified with  $\text{Im}(\mu_1(C))$ , where

$$\mu_1(C) : \text{Ker}\mu_0(C) \rightarrow H^0(C, \Omega_C \otimes \omega_C)$$

is the Gaussian map obtained from taking the ‘derivative’ of  $\mu_0(C)$  (cf. [CGGH, p. 163]).

In Section 3 we will smooth curves  $X \subseteq \mathbb{P}^r$  which are unions of two smooth curves  $C$  and  $E$  meeting quasi-transversally (i.e. having distinct tangent lines) at a finite set  $\Delta$ . For such a curve one has the exact sequences (cf. [Se, p. 35])

$$0 \longrightarrow \mathcal{O}_E(-\Delta) \longrightarrow \mathcal{O}_X \longrightarrow \mathcal{O}_C \longrightarrow 0, \quad (2)$$

and

$$0 \longrightarrow \Omega_E \longrightarrow \omega_X \longrightarrow \Omega_C(\Delta) \longrightarrow 0. \quad (3)$$

Also in Section 3 we will use an inductive procedure to construct curves  $C \subseteq \mathbb{P}^1 \times \mathbb{P}^r$  with  $H^1(C, N_{C/\mathbb{P}^1 \times \mathbb{P}^r}) = 0$ . The induction step uses the following result (cf. [BE, Lemma 2.3]):

**Proposition 2.1** *Let  $C \subseteq \mathbb{P}^r$  be a smooth curve with  $H^1(C, N_C) = 0$ . We take  $r + 2$  points  $p_1, \dots, p_{r+2} \in C$  in general linear position and a smooth rational curve  $E \subseteq \mathbb{P}^r$  of degree  $r$  which meets  $C$  quasi-transversally at  $p_1, \dots, p_{r+2}$ . Then  $X = C \cup E$  is smoothable in  $\mathbb{P}^r$  and  $H^1(X, N_X) = 0$ .*

### 3 Existence of regular components of $\mathcal{M}_g(\mathbb{P}^1 \times \mathbb{P}^r, (k, d))$

In this section we prove the existence of regular components of  $\overline{\mathcal{M}}_g(\mathbb{P}^1 \times \mathbb{P}^r, (k, d))$  in the case  $k \geq r + 2, d \geq r \geq 3$ , and  $\rho(g, r, d) < 0$ . We achieve this by constructing smooth curves  $C \subseteq \mathbb{P}^1 \times \mathbb{P}^r$  of bidegree  $(k, d)$  satisfying  $H^1(C, N_{C/\mathbb{P}^1 \times \mathbb{P}^r}) = 0$ .

Let us fix integers  $g \geq 2, d \geq r \geq 3$  and  $k \geq 2$ , as well as a smooth curve  $C$  of genus  $g$  with maps  $f_1 : C \rightarrow \mathbb{P}^1, f_2 : C \rightarrow \mathbb{P}^r$ , such that  $\deg(f_1) = k, \deg(f_2(C)) = d$  and  $f_2$  is generically injective. Let us denote by  $f : C \rightarrow \mathbb{P}^1 \times \mathbb{P}^r$  the product map. As usual we denote by  $G_d^r(C)$  the scheme parametrizing  $\mathfrak{g}_d^r$ ’s on  $C$ .

There is a commutative diagram of exact sequences

$$\begin{array}{ccccccc} & & & & 0 & & \\ & & & & \downarrow & & \\ & & & & T_C & & \\ & & & & \downarrow & & \\ 0 & \longrightarrow & T_C & \longrightarrow & f^*(T_{\mathbb{P}^1 \times \mathbb{P}^r}) & \longrightarrow & N_f \\ & & \downarrow & & \downarrow = & & \downarrow \\ 0 & \longrightarrow & T_C \oplus T_C & \longrightarrow & f_1^*(T_{\mathbb{P}^1}) \oplus f_2^*(T_{\mathbb{P}^r}) & \longrightarrow & N_{f_1} \oplus N_{f_2} \rightarrow 0 \\ & & & & & & \downarrow \\ & & & & & & 0 \end{array} .$$

By taking cohomology in the last column, we see that the condition  $H^1(C, N_f) = 0$  is equivalent to  $H^1(C, N_{f_1}) = 0$  (automatic),  $H^1(C, N_{f_2}) = 0$ , and

$$\text{Im}\{\delta_1 : H^0(C, N_{f_1}) \rightarrow H^1(C, T_C)\} + \text{Im}\{\delta_2 : H^0(C, N_{f_2}) \rightarrow H^1(C, T_C)\} = H^1(C, T_C), \quad (4)$$

where  $\delta_1$  and  $\delta_2$  are coboundary maps. Condition (4) is equivalent (cf. Section 2) to

$$(d\pi_1)_{[f_1]} (T_{[f_1]}(\mathcal{M}_g(\mathbb{P}^1, k))) + (d\pi_2)_{[f_2]} (T_{[f_2]}(\mathcal{M}_g(\mathbb{P}^r, d))) = T_{[C]}(\mathcal{M}_g), \quad (5)$$

where the projections  $\pi_1 : \mathcal{M}_g(\mathbb{P}^1, k) \rightarrow \mathcal{M}_g$  and  $\pi_2 : \mathcal{M}_g(\mathbb{P}^r, d) \rightarrow \mathcal{M}_g$  are the natural forgetful maps. Slightly abusing terminology, if  $C$  is a smooth curve and  $(l_1, l_2) \in G_k^1(C) \times G_d^r(C)$  is a pair of base point free linear series on  $C$ , we say that  $(C, l_1, l_2)$  satisfies (5), if  $(C, f_1, f_2)$  satisfies (5), where  $f_1$  and  $f_2$  are maps associated to  $l_1$  and  $l_2$ .

Recall that a base point free pencil  $\mathfrak{g}_k^1$  is said to be *simple* if the induced covering  $f : C \rightarrow \mathbb{P}^1$  has a single ramification point  $x$  over each branch point and moreover  $e_x(f) = 2$ .

We prove the existence of regular components of  $\mathcal{M}_g(\mathbb{P}^1 \times \mathbb{P}^r, (k, d))$  using the following inductive procedure:

**Proposition 3.1** *Fix positive integers  $g, r, d$  and  $k$  with  $d \geq r \geq 3, k \geq r+2$  and  $\rho(g, r, d) < 0$ . Let us assume that  $C \subseteq \mathbb{P}^r$  is a smooth nondegenerate curve of degree  $d$  and genus  $g$ , such that  $h^1(C, N_C) = 0, h^0(C, \mathcal{O}_C(1)) = r+1$  and the Petri map*

$$\mu_0(C) = \mu_0(C, \mathcal{O}_C(1)) : H^0(C, \mathcal{O}_C(1)) \otimes H^0(C, K_C(-1)) \rightarrow H^0(C, K_C)$$

*is surjective. Assume furthermore that  $C$  possesses a simple base point free pencil  $\mathfrak{g}_k^1$  say  $l$ , such that  $|\mathcal{O}_C(1)|(-l) = \emptyset$  and  $(C, l, |\mathcal{O}_C(1)|)$  satisfies (5).*

*Then there exists a smooth nondegenerate curve  $Y \subseteq \mathbb{P}^r$  with  $g(Y) = g+r+1$ ,  $\deg(Y) = d+r$  and a simple base point free pencil  $l' \in G_k^1(Y)$ , so that  $Y$  enjoys exactly the same properties:  $h^1(Y, N_Y) = 0$ ,  $h^0(Y, \mathcal{O}_Y(1)) = r+1$ , the Petri map  $\mu_0(Y)$  is surjective,  $|\mathcal{O}_Y(1)|(-l') = \emptyset$  and  $(Y, l', |\mathcal{O}_Y(1)|)$  satisfies (5).*

*Proof.* We first construct a reducible  $k$ -gonal nodal curve  $X \subseteq \mathbb{P}^r$ , with  $p_a(X) = g+r+1$ ,  $\deg(X) = d+r$ , having all the required properties, then we prove that  $X$  can be smoothed in  $\mathbb{P}^r$  preserving all properties we want.

Let  $f_1 : C \rightarrow \mathbb{P}^1$  be the degree  $k$  map corresponding to the pencil  $l$ . The covering  $f_1$  is simple hence the monodromy of  $f_1$  is the full symmetric group. Then since  $|\mathcal{O}_C(1)|(-l) = \emptyset$ , we have that for a general  $\lambda \in \mathbb{P}^1$  the fibre  $f_1^{-1}(\lambda) = p_1 + \dots + p_k$  consists of  $k$  distinct points in general linear position. Let  $\Delta = \{p_1, \dots, p_{r+2}\}$  be a subset of  $f_1^{-1}(\lambda)$  and let  $E \subseteq \mathbb{P}^r$  be a rational normal curve ( $\deg(E) = r$ ) passing through  $p_1, \dots, p_{r+2}$ . (Through any  $r+3$  points in general linear position in  $\mathbb{P}^r$ , there passes a unique rational normal curve). We set  $X := C \cup E$ , with  $C$  and  $E$  meeting quasi-transversally at  $\Delta$ . Of course  $p_a(X) = g+r+1$  and  $\deg(X) = d+r$ . Note that  $\rho(g, r, d) = \rho(g+r+1, r, d+r)$ .

We first prove that  $[X] \in \overline{M}_{g+r+1, k}^1$  (that is,  $X$  is a limit of smooth  $k$ -gonal curves), by constructing an admissible covering of degree  $k$  having as domain a curve  $X'$ , stably equivalent to  $X$ . Let  $X' := X \cup D_{r+3} \cup \dots \cup D_k$ , where  $D_i \simeq \mathbb{P}^1$  and  $D_i \cap X = \{p_i\}$ , for  $i = r+3, \dots, k$ . Take  $Y := (\mathbb{P}^1)_1 \cup_{\lambda} (\mathbb{P}^1)_2$  a union of two lines identified at  $\lambda$ . We construct a degree  $k$  admissible covering  $f' : X' \rightarrow Y$  as follows: take  $f'_{|C} = f_1 : C \rightarrow$

$(\mathbb{P}^1)_1$ ,  $f'_E = f_2 : E \rightarrow (\mathbb{P}^1)_2$  a map of degree  $r+2$  sending the points  $p_1, \dots, p_{r+2}$  to  $\lambda$ , and finally  $f'_{|D_i} : D_i \simeq (\mathbb{P}^1)_2$  isomorphisms sending  $p_i$  to  $\lambda$ . Clearly  $f'$  is an admissible covering, so  $X'$  which is stably equivalent to  $X'$  is a  $k$ -gonal curve.

Let us consider now the space  $\overline{\mathcal{H}}_{g+r+1,k}$  of Harris-Mumford admissible coverings of degree  $k$  (cf. [HM]) and denote by  $\pi_1 : \overline{\mathcal{H}}_{g+r+1,k} \rightarrow \overline{\mathcal{M}}_{g+r+1}$  the natural projection which sends a covering to the stable model of its source. We assume for simplicity that  $\text{Aut}(C) = \{Id_C\}$  which implies that  $\text{Aut}(f') = \{Id_{X'}\}$ , so  $[f']$  is a smooth point of  $\overline{\mathcal{H}}_{g+r+1,k}$ . In the case when  $C$  has nontrivial automorphisms the argument carries through without change if we replace the space of admissible coverings with the space of twisted covers of Abramovich, Corti and Vistoli (cf. [ACV]).

We compute the differential of the map  $\pi_1$  at  $[f']$ . We have  $T_{[f']}(\overline{\mathcal{H}}_{g+r+1,k}) = \text{Def}(X', f', Y) = \text{Def}(X, f, Y)$ , where  $f = f'_{|X} : X \rightarrow Y$ . The differential  $(d\pi_1)_{[f']}$  is the forgetful map  $\text{Def}(X, f, Y) \rightarrow \text{Def}(X)$  and from the sequence (2.1) we get that  $\text{Im}(d\pi_1)_{[f']} = u_1^{-1}(\text{Im } u_2)$ , where  $u_1 : \text{Def}(X) \rightarrow \text{Ext}^1(f^*\Omega_Y, \mathcal{O}_X)$  and  $u_2 : \text{Def}(Y) \rightarrow \text{Ext}^1(f^*\Omega_Y, \mathcal{O}_X)$  are the dual maps of  $u_1^\vee : H^0(X, \omega_X \otimes f^*\Omega_Y) \rightarrow H^0(X, \omega_X \otimes \Omega_X)$  and  $u_2^\vee : H^0(X, \omega_X \otimes f^*\Omega_Y) \rightarrow H^0(Y, \omega_Y \otimes \Omega_Y)$ . Here  $u_2^\vee$  is induced by the trace map  $\text{tr} : f_*\omega_X \rightarrow \omega_Y$ . Starting with the exact sequence on  $X$ ,

$$0 \longrightarrow \text{Tors}(\omega_X \otimes \Omega_X) \longrightarrow \omega_X \otimes \Omega_X \longrightarrow \Omega_C^{\otimes 2}(\Delta) \oplus \Omega_E^{\otimes 2}(\Delta) \longrightarrow 0,$$

we can write the following commutative diagram of sequences

$$\begin{array}{ccccccc} 0 & & 0 & & 0 & & \\ \downarrow & & \downarrow & & \downarrow & & \\ H^0(\text{Tors}(\omega_X \otimes f^*\Omega_Y)) & \hookrightarrow & H^0(\omega_X \otimes f^*\Omega_Y) & \twoheadrightarrow & H^0(2K_C - R_1 + \Delta) \oplus H^0(2K_E - R_2 + \Delta) & & \\ \downarrow (u_1^\vee)_{\text{tors}} & & \downarrow u_1^\vee & & \downarrow & & \\ H^0(\text{Tors}(\omega_X \otimes \Omega_X)) & \hookrightarrow & H^0(\omega_X \otimes \Omega_X) & \twoheadrightarrow & H^0(2K_C + \Delta) \oplus H^0(2K_E + \Delta) & & \end{array}$$

where  $R_1$  (resp.  $R_2$ ) is the ramification divisor of the map  $f_1$  (resp.  $f_2$ ). Taking into account that  $H^0(E, 2K_E - R_2 + \Delta) = 0$  and that  $H^0(Y, \omega_Y \otimes \Omega_Y) = H^0(\text{Tors}(\omega_Y \otimes \Omega_Y))$ , we obtain that

$$\text{Im}(d\pi_1)_{[f']} = (H^0(C, 2K_C - R_1 + \Delta) \oplus \text{Ker}(u_2^\vee)_{\text{tors}})^\perp, \quad (6)$$

where  $(u_2^\vee)_{\text{tors}} : H^0(\text{Tors}(\omega_X \otimes f^*\Omega_Y)) \rightarrow H^0(\text{Tors}(\omega_Y \otimes \Omega_Y))$  is the restriction of  $u_2^\vee$ . The space  $\text{Ker}(u_2^\vee)_{\text{tors}}$  is just a hyperplane in  $H^0(\text{Tors}(\omega_X \otimes f^*\Omega_Y)) \simeq \mathbb{C}^{r+2}$ .

**Intermezzo.** If we also assume that  $\rho(g, 1, k) < 0$  and that  $[C]$  is a smooth point of  $M_{g,k}^1$  (which happens precisely when  $\text{Aut}(C) = \{Id_C\}$ ,  $C$  has exactly one  $\mathfrak{g}_k^1$  and  $\dim|2\mathfrak{g}_k^1| = 2$ ), then we can prove that the locus  $\overline{M}_{g+r+1,k}^1$  is smooth at  $[X]$  as well. Indeed, since  $\Delta \in C_{r+2}$  was chosen generically in a fibre of the  $\mathfrak{g}_k^1$  on  $C$ , from Riemann-Roch we have that  $h^0(C, 2K_C - R_1 + \Delta) = g - 2k + 3 + r = \text{codim}(\overline{M}_{g+r+1,k}^1, \overline{M}_{g+r+1})$ . The fibre over  $[X]$  of the map  $\pi_1 : \overline{\mathcal{H}}_{g+r+1,k} \rightarrow \overline{M}_{g+r+1}$  is identified with the space of degree  $r+1$  maps  $f_2 : E \rightarrow \mathbb{P}^1$  such that  $f_2(p_1) = \dots = f_2(p_{r+2}) = \lambda$ , hence it is  $r+1$  dimensional. We compute the tangent cone

$$TC_{[X]}(\overline{M}_{g+r+1,k}^1) = \bigcup \{\text{Im}(d\pi_1)_z : z \in \pi_1^{-1}([X])\} = H^0(C, 2K_C - R_1 + \Delta)^\perp,$$

which shows that  $[X]$  is a smooth point of the locus  $\overline{M}_{g+r+1,k}^1$ .

We compute now the differential

$$(d\pi_2)_{[X]} : T_{[X]}(\text{Hilb}_{d+r, g+r+1, r}) \rightarrow T_{[X]}(\overline{\mathcal{M}}_{g+r+1}),$$

which is the same thing as the differential at the point  $[X \hookrightarrow \mathbb{P}^r]$  of the projection  $\pi_2 : \overline{\mathcal{M}}_{g+r+1}(\mathbb{P}^r, d+r) \rightarrow \overline{\mathcal{M}}_{g+r+1}$ . We start by noticing that  $X$  is smoothable in  $\mathbb{P}^r$  and that  $H^1(X, N_X) = 0$  (apply Proposition 2.1). We also have that  $X$  is embedded in  $\mathbb{P}^r$  by a complete linear system, that is,  $h^0(X, \mathcal{O}_X(1)) = r+1$ . Indeed, on one hand, since  $X$  is nondegenerate,  $h^0(X, \mathcal{O}_X(1)) \geq h^0(\mathbb{P}^r, \mathcal{O}_{\mathbb{P}^r(1)}) = r+1$ ; on the other hand from the sequence (2) we have that  $h^0(X, \mathcal{O}_X(1)) \leq h^0(C, \mathcal{O}_C(1)) = r+1$ .

If  $X$  is embedded in  $\mathbb{P}^r$  by a complete linear system, we know (cf. Section 2) that

$$\text{Im}(d\pi_2)_{[X]} = (\text{Im}\mu_1(X))^\perp,$$

where  $\mu_1(X) : \text{Ker}\mu_0(X) \rightarrow H^0(X, \omega_X \otimes \Omega_X)$  is the ‘derivative’ of the Petri map  $\mu_0(X) : H^0(X, \mathcal{O}_X(1)) \otimes H^0(X, \omega_X(-1)) \rightarrow H^0(X, \omega_X)$ . We compute the kernel of  $\mu_0(X)$  and show that  $\mu_0(X)$  is surjective in a way that resembles the proof of Proposition 2.3 in [Se].

From the sequence (3) we obtain  $H^0(X, \omega_X) = H^0(C, K_C + \Delta)$ , while from (2) we have that  $H^0(X, \mathcal{O}_X(1)) = H^0(E, \mathcal{O}_E(1))$  (keeping in mind that  $H^0(C, \mathcal{O}_C(1)(-\Delta)) = 0$ , as  $p_1, \dots, p_{r+2}$  are in general linear position). Finally, using (3) again, we have that  $H^0(X, \omega_X(-1)) = H^0(C, K_C(-1) + \Delta)$ . Therefore we can write the following commutative diagram:

$$\begin{array}{ccc} H^0(C, \mathcal{O}_C(1)) \otimes H^0(C, K_C(-1)) & \xrightarrow{\mu_0(C)} & H^0(C, K_C) \\ \downarrow & & \downarrow \\ H^0(C, \mathcal{O}_C(1)) \otimes H^0(C, K_C(-1) + \Delta) & \longrightarrow & H^0(C, K_C + \Delta) \\ \downarrow = & & \downarrow = \\ H^0(X, \mathcal{O}_X(1)) \otimes H^0(X, \omega_X(-1)) & \xrightarrow{\mu_0(X)} & H^0(X, \omega_X) . \end{array}$$

It follows that  $\text{Ker}\mu_0(C) \subseteq \text{Ker}\mu_0(X)$ . By Corollary 1.6 from [CR], our assumptions ( $\mu_0(C)$  surjective and  $\text{card}(\Delta) \geq 4$ ) enable us to conclude that  $\mu_0(X)$  is surjective too. Then  $\text{Ker}\mu_0(C) = \text{Ker}\mu_0(X)$  for dimension reasons, hence also  $\text{Im}\mu_1(X) = \text{Im}\mu_1(C) \subseteq H^0(C, 2K_C) \subseteq H^0(X, \omega_X \otimes \Omega_X)$ . We thus get that  $\text{Im}(d\pi_2)_{[X]} = (\text{Im}\mu_1(X))^\perp = (\text{Im}\mu_1(C))^\perp$ .

The assumption that  $(C, f_1, f_2)$  satisfies (5) can be rewritten by passing to duals as

$$H^0(C, 2K_C - R_1)^\perp + (\text{Im}\mu_1(C))^\perp = H^1(C, T_C) \iff H^0(C, 2K_C - R_1) \cap \text{Im}\mu_1(C) = 0.$$

Then it follows that  $\text{Im}\mu_1(X) \cap (H^0(C, 2K_C - R_1 + \Delta) \oplus \text{Ker}((u_2^\vee)_{\text{tors}})) = 0$ , which is the same thing as

$$(d\pi_1)_{[f']} (T_{[f']}(\overline{\mathcal{H}}_{g+r+1,k})) + (d\pi_2)_{[X \hookrightarrow \mathbb{P}^r]} (T_{[X \hookrightarrow \mathbb{P}^r]}(\overline{\mathcal{M}}_{g+r+1}(\mathbb{P}^r, d+r))) = \text{Ext}^1(\Omega_X, \mathcal{O}_X). \quad (7)$$

This means that the images of  $\overline{\mathcal{H}}_{g+r+1,k}$  under the map  $\pi_1$  and of  $\overline{\mathcal{M}}_{g+r+1}(\mathbb{P}^r, d+r)$  under the map  $\pi_2$ , meet transversally at the point  $[X] \in \overline{\mathcal{M}}_{g+r+1}$ .

**Claim.** The curve  $X$  can be smoothed in such a way that the  $\mathfrak{g}_k^1$  and the very ample  $\mathfrak{g}_{d+r}^r$  are preserved (while (7) is an open condition on  $\overline{\mathcal{H}}_{g+r+1,k} \times \overline{\mathcal{M}}_{g+r+1}(\mathbb{P}^r, d+r)$ ).

Indeed, the tangent directions that fail to smooth at least one node of  $X$  are those in  $\bigcup_{i=1}^{r+2} H^0(\text{Tors}_{p_i}(\omega_X \otimes \Omega_X))^\perp$ , whereas the tangent directions that preserve both the  $\mathfrak{g}_k^1$  and the  $\mathfrak{g}_{d+r}^r$  are those in

$$((\text{Im}\mu_1(C) + H^0(C, 2K_C - R_1 + \Delta)) \oplus \text{Ker}(u_2^\vee)_{\text{tors}})^\perp.$$

Since  $H^0(\text{Tors}_{p_i}(\omega_X \otimes \Omega_X)) \not\subseteq \text{Ker}(u_2^\vee)_{\text{tors}}$  for  $i = 1, \dots, r+2$ , by moving in a suitable direction in the tangent space at  $[f']$  of  $\pi_1^{-1}\pi_2(\overline{\mathcal{M}}_{g+r+1}(\mathbb{P}^r, d+r))$ , we finally obtain a smooth curve  $Y \subseteq \mathbb{P}^r$  with  $g(Y) = g+r+1$ ,  $\deg(Y) = d+r$  and satisfying all the required properties.  $\square$

Using the previous result together with Proposition 1.1 we construct now regular components of  $\mathcal{M}_g(\mathbb{P}^1 \times \mathbb{P}^r, (k, d))$ .

**Theorem 1** *Let  $g, r, d$  and  $k$  be positive integers such that  $r \geq 3$ ,  $\rho(g, r, d) < 0$  and*

$$(2 - \rho(g, r, d))r + 2 \leq k \leq (g+2)/2.$$

*Then there exists a regular component of the stack of maps  $\mathcal{M}_g(\mathbb{P}^1 \times \mathbb{P}^r, (k, d))$ .*

*Proof.* All integer solutions  $(g_0, d_0)$  of the equation  $\rho(g_0, r, d_0) = \rho(g, r, d)$  with  $g_0 \leq g$  and  $d_0 \leq d$ , are of the form  $g_0 = g - a(r+1)$  and  $d_0 = d - ar$  with  $a \geq 0$ . Using our numerical assumptions, by a routine check we find that there exists  $a > 0$  such that  $g_0 = g - a(r+1) > 0$ ,  $d_0 = d - ar \geq r+1$ ,  $k \geq g_0 + 1$  and

$$-\frac{g_0}{r} + \frac{r+1}{r} \leq \rho(g_0, r, d_0) < 0.$$

By Proposition 1.1 there exists a smooth curve  $C_0 \subseteq \mathbb{P}^r$  of genus  $g_0$  and degree  $d_0$ , with  $H^1(C_0, N_{C_0/\mathbb{P}^r}) = 0$ ,  $h^0(C_0, \mathcal{O}_{C_0}(1)) = r+1$  and  $\mu_0(C_0)$  surjective. Moreover, since  $k \geq g_0 + 1$ , there exists an open dense subset  $U \subseteq \text{Pic}^k(C_0)$  such that for each  $L_1 \in U$  there exists a pencil  $l_1 = (L_1, V_1) \in G_k^1(C_0)$  with  $V_1 \in \text{Gr}(2, H^0(C_0, L))$ , such that  $l_1$  is simple and base point free (cf. [Fu, Proposition 8.1]).

We denote by  $\pi_1 : \mathcal{M}_{g_0}(\mathbb{P}^1, k_0) \rightarrow \mathcal{M}_{g_0}$  the natural projection and by  $f_1 : C \rightarrow \mathbb{P}^1$  the map corresponding to  $l_1$ . By Riemann-Roch we have  $H^1(C_0, L_1^{\otimes 2}) = 0$ , hence using

Section 2  $(d\pi_1)_{[f_1]} : T_{[f_1]}(\mathcal{M}_{g_0}(\mathbb{P}^1, k)) \rightarrow T_{[C_0]}(\mathcal{M}_{g_0})$  is surjective since  $\text{Coker}(d\pi_1)_{[f_1]} = H^1(C_0, f_1^* T_{\mathbb{P}^1}) = 0$ . It follows that  $(C_0, |\mathcal{O}_{C_0}(1)|, l_1)$  satisfies (5).

We claim that if  $L \in U$  is general then  $|\mathcal{O}_{C_0}(1) \otimes L^\vee| = \emptyset$ . Suppose not, that is  $\mathcal{O}_{C_0}(1) \otimes L^\vee \in W_{d_0-k}(C_0)$  for a general  $L \in \text{Pic}^k(C_0)$ . This is possible only for  $d_0 - k \geq g_0$ , hence

$$r + 2 \leq k \leq d_0 - g_0 < r, \text{ (because } \rho(g_0, r, d_0) = \rho(g, r, d) < 0\text{)},$$

a contradiction. Thus  $(C_0, |\mathcal{O}_{C_0}(1)|, l_1)$  satisfies all conditions required by Proposition 3.1 which we can now apply  $a$  times to get a smooth curve  $C \subseteq \mathbb{P}^1 \times \mathbb{P}^r$  of genus  $g$  and bidegree  $(k, d)$  such that  $H^1(C, N_{C/\mathbb{P}^1 \times \mathbb{P}^r}) = 0$ . The conclusion of Theorem 1 now follows.  $\square$

In the special case  $\rho(g, r, d) = -1$  we can extend the range of possible  $g, r, d$  and  $k$  for which there is a regular component:

**Theorem 2** *Let  $g, r, d, k$  be positive integers such that  $r \geq 3$ ,  $\rho(g, r, d) = -1$  and*

$$\frac{2r^2 + r + 1}{r - 1} \leq k \leq \frac{g + 2}{2}.$$

*Then there exists a regular component of the stack of maps  $\mathcal{M}_g(\mathbb{P}^1 \times \mathbb{P}^r, (k, d))$ .*

*Proof.* We find a solution  $(g_0 = g - a(r + 1), d_0 = d - ar)$  of the equation  $\rho(g_0, r, d_0) = \rho(g, r, d)$  with  $a \in \mathbb{Z}_{\geq 0}$  such that  $d_0 \geq k + r$  and  $\rho(g_0, 1, k) \geq r - 1$ . Our numerical assumptions ensure that such an  $a \geq 0$  exists. Note that in this case  $k \leq g_0 + 1$ , so we are not in the situation covered by Theorem 1.

It also follows that  $-\frac{g_0}{r} + \frac{r+1}{r} \leq -1 = \rho(g_0, r, d_0)$  and  $d_0 \geq r + 1$ , hence by Proposition 1.1 there exists an irreducible smooth open subset  $U$  of  $\mathcal{M}_{g_0}(\mathbb{P}^r, d_0)$  of the expected dimension, such that all points of  $U$  correspond to embeddings of smooth curves  $C \hookrightarrow \mathbb{P}^r$ , with  $h^1(C, N_C) = 0$ ,  $h^0(C, \mathcal{O}_C(1)) = r + 1$  and  $\mu_0(C)$  surjective.

Since we are in the case  $\rho(g_0, r, d_0) = -1$ , a combination of results by Eisenbud, Harris and Steffen gives that the Brill-Noether locus  $M_{g_0, d_0}^r$  is an irreducible divisor in  $M_{g_0}$  (see [St, Theorem 0.2]). It follows that the natural projection  $\pi_2 : U \rightarrow M_{g_0, d_0}^r$  is dominant.

To apply Proposition 3.1 we now find a curve  $[C_0] \in M_{g_0, d_0}^{r_0}$  having a complete base point free  $\mathfrak{g}_k^1$  such that  $2\mathfrak{g}_k^1$  is non-special. Then by semicontinuity we get that the general  $[C] \in U$  also possesses a pencil  $\mathfrak{g}_k^1$  with these properties. To find one particular such curve we proceed as follows: take  $C_0$  a general  $(r + 1)$ -gonal curve of genus  $g_0$ . These curves will have rather few moduli ( $r + 1 < [(g + 3)/2]$ ) but we still have that  $[C_0] \in M_{g_0, d_0}^r$ . Indeed, according to [CM] we can construct a  $\mathfrak{g}_{d_0}^r = |\mathfrak{g}_{r+1}^1 + F|$  on  $C_0$ , where  $F$  is an effective divisor on  $C_0$  with  $h^0(C_0, F) = 1$ . Since  $k \leq g_0$ , using Corollary 2.2.3 from [CKM] we find that  $C_0$  also carries a complete base point free  $\mathfrak{g}_k^1$ , not composed with the  $\mathfrak{g}_{r+1}^1$  computing  $\text{gon}(C_0)$ , and such that  $2\mathfrak{g}_k^1$  is non-special. Since these are open conditions, they will hold generically along a component of  $G_k^1(C_0)$ . Applying semicontinuity, for a general element  $[C] \in M_{g_0, d_0}^r$  (hence also for a general

element  $[C] \in U$ ), the variety  $G_k^1(C)$  will contain a component  $A$  with general point  $l \in A$  being complete, base point free and with  $2l$  non-special.

We claim that for a general  $l \in A$  we have that  $|\mathcal{O}_C(1)|(-l) = \emptyset$ . Suppose not. Then if we denote by  $V_{d_0-k}^{r-1}(|\mathcal{O}_C(1)|)$  the variety of effective divisors of degree  $d_0 - k$  on  $C$  imposing  $\leq r - 1$  conditions on  $|\mathcal{O}_C(1)|$ , we obtain

$$\dim V_{d_0-k}^{r-1}(|\mathcal{O}_C(1)|) \geq \dim A \geq \rho(g_0, 1, k) \geq r - 1.$$

Therefore  $C \subseteq \mathbb{P}^r$  has at least  $\infty^{r-1}$   $(d_0 - k)$ -secant  $(r - 2)$ -planes, hence also at least  $\infty^{r-1}$   $r$ -secant  $(r - 2)$ -planes (because  $d_0 - k \geq r$ ). This last statement contradicts the Uniform Position Theorem (see [ACGH, p. 112]), hence the general point  $[C] \in U$  enjoys all properties required to make Proposition 3.1 work.  $\square$

**Remark.** From the proof of Theorem 2 the following question appears naturally: let us fix  $g, k$  such that  $g/2 + 1 \leq k \leq g$ . One knows (cf. [ACGH]) that if  $l \in G_k^1(C)$  is a complete, base point free pencil then  $\dim T_l(G_k^1(C)) = \rho(g, 1, k) + h^1(C, 2l)$ . Therefore if  $A$  is a component of  $G_k^1(C)$  such that  $\dim A = \rho(g, 1, k)$  and the general  $l \in A$  is base point free such that  $2l$  is special, then  $A$  is nonreduced. What is then the dimension of the locus

$$V_{g,k} := \{[C] \in M_g : \text{every component of } G_k^1(C) \text{ is nonreduced}\}?$$

A result of Coppens (cf. [Co]) says that for a curve  $C$ , if the scheme  $W_k^1(C)$  is reduced and of dimension  $\rho(g, 1, k)$ , then the scheme  $W_{k+1}^1(C)$  is reduced too and of dimension  $\rho(g, 1, k+1)$ . It would make then sense to determine  $\dim(V_{g,k})$  when  $\rho(g, 1, k) \in \{0, 1\}$  (depending on the parity of  $g$ ). We suspect that  $V_{g,k}$  depends on very few moduli and if  $g$  is suitably large we expect that  $V_{g,k} = \emptyset$ .

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